

**ENANTA Pharmaceuticals, Inc.**500 Arsenal Street  
Watertown, Massachusetts  
02472Tel: 617.607.0800  
Fax: 617.607.0530  
www.enanta.com**FAX COVER SHEET****TO:** Commissioner for Patents and Trademarks**DATE:** November 26, 2002**Fax #:** 703-746-7444**No. of Pages (including cover sheet):** 20

<b>Applicant:</b> <u>Or, et al.</u>	<b>Examiner:</b> <u>R. Anderson</u>
<b>Serial No.:</b> <u>10/007,235</u>	<b>Art Unit:</b> <u>1626</u>
<b>Docket No.:</b> <u>ENP-036</u>	
<b>Filing Date:</b> <u>10/22/01</u>	

**Dear Commissioner:**

Included in this transmission is a Notice of Abandonment dated November 18, 2002 for the above-identified Patent Application. The Examiner notified us by phone August 21, 2002 that this case had fallen into abandonment and advised us to file a Petition to Withdraw Abandonment Based On Failure To Receive Office Action (MPEP 711.03c). This Petition was signed and faxed to your attention on August 22, 2002.

On November 13, 2002 the Examiner contacted us indicating that a decision on the Petition was pending due to the absence of an official Notice of Abandonment in the file history. We received the Notice of Abandonment dated November 18, 2002. We are resending our Petition to Withdraw Abandonment Based on Failure to Receive Office Action (dated August 22, 2002) to assure this matter is brought back to your attention.

Respectfully submitted,  
Stacie S. Capotosto  
Patent Coordinator

**Attached:**

- 1) Notice of Abandonment and cover page sent by USPTO to Enanta, date mailed: 11/18/02 (2 Pages);
- 2) Copy of Fax originally sent by Enanta to USPTO on August 22, 2002 which included the following:
  - a) Cover Sheet and Transaction Report (1 Page);
  - b) Petition to Withdraw Holding of Abandonment Based On Failure to Receive Office Action (2 Pages);
  - c) Exhibit 1 (3 Pages);
  - d) Exhibit 2 (5 Pages);
  - e) Exhibit 3 (5 Pages); and
  - f) Power of Attorney or Authorization of Agent Form (1 Page).



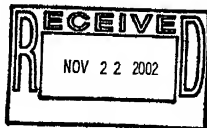
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20590  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/007,235	10/22/2001	Yst Sun Or	ENP-036	3609

7590  
Sandhya L. Kalkunte  
500 Arsenal Street  
Watertown, MA 02472

11/18/2002



EXAMINER	
ANDERSON, REBECCA L	
ART UNIT	PAPER NUMBER
1626	

DATE MAILED: 11/18/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 07-01)

Received from &lt; &gt; at 11/26/02 4:40:48 PM [Eastern Standard Time]

**Notice of Abandonment**

Application No.

10/007,235

Applicant(s)

OR ET AL.

Examiner

Art Unit

Rebecca L Anderson

1626

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

This application is abandoned in view of:

1. ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on 07 February 2002.
  - (a) ☐ A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
  - (b) ☐ A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
  - (c) ☐ A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
  - (d) ☒ No reply has been received.
2. ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
  - (a) ☐ The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
  - (b) ☐ The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.
  - (c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
  - (a) ☐ Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
  - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☒ The reason(s) below:

Applicant's representative was notified on November 13, 2002 that the case is abandoned.

JOSEPH K. McKANE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 1600

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the filing of an abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

U.S. Patent and Trademark Office  
PTO-1432 (Rev. 04-01)

Notice of Abandonment

Part of Paper No. 4

Received from < > at 11/26/02 4:40:48 PM [Eastern Standard Time]

## TRANSACTION REPORT

P. 01

AUG-22-2002 THU 02:16 PM

FOR: ENANTA PHARMACEUTICALS 6176070535

DATE	START	RECEIVER	TX TIME	PAGES	TYPE	NOTE	M#	DP
AUG-22	01:47 PM	17037487444	5' 30"	17	SEND	OK	138	

**ENANTA Pharmaceuticals, Inc.**500 Arsenal Street  
Watertown, Massachusetts  
02472Tel: 617.607.0800  
Fax: 617.607.0530  
www.enanta.com**FAX COVER SHEET**TO: Commissioner for Patents and TrademarksDATE: August 22, 2002Fax #: 703-746-7444No. of Pages (including cover sheet): 17**Attached:**

- 1) Petition to Withdraw Holding of Abandonment Based On Failure to Receive Office Action (2 Pages);
- 2) Exhibit 1 (3 Pages);
- 3) Exhibit 2 (5 Pages);
- 4) Exhibit 3 (5 Pages); and
- 5) Power of Attorney or Authorization of Agent Form (1 Page).

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Or, *et al.*  
Serial No.: 10/007235  
Docket No.: ENP-036  
Filing Date: 10/22/01

Examiner: R. Anderson

TITLE: Alpha-Hydroxyarylbutanamine Inhibitors of Aspartyl Protease

BY FACSIMILE TRANSMISSION: 703-746-7444

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED ON  
FAILURE TO RECEIVE OFFICE ACTION**

Dear Sir:

On August 21, 2002, Ms. Rebecca Anderson, the examiner in the above-identified application called the office of the applicants' assignee, Enanta Pharmaceuticals, Inc. and stated that the application had been held to be abandoned for failure to respond to an Office Action which had been mailed by the United States Patent and Trademark Office (USPTO) on February 7, 2002. Ms. Anderson stated that the Office Action included a restriction requirement and a requirement for election of species and specified a time period of one month for response.

The Office Action has not been received at the offices of the applicants' assignee, Enanta Pharmaceuticals, Inc. 500 Arsenal Street, Watertown, MA 02472, which is designated in the Utility Patent Application Transmittal form as the correspondence address for the application.

Applicants' undersigned attorney has made a search of the file jacket of the application, and of the patent docket records, maintained by the applicants' assignee.

The file jacket and the docket records show conclusively that the Office Action was not received.

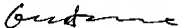
Attached hereto as Exhibit 1 is a copy of the first, fifth and sixth pages of a document maintained by applicants' assignee, entitled "Actions Due and Mail Received from PTO". This docket, which identifies the listed applications by Attorney Docket

Number, ENP-036 in the case of the present application, lists all the documents received, starting as of January 25, 2002, in the patent applications of applicants' assignee. Page 6 of this record shows that a return postcard for an Information Disclosure Statement filed in the present application was received February 1, 2002 and a Recordation of Assignment was received on February 25, 2002. It can be seen that the Office Action in question was not received as of March 7, 2002 which would have been the end of the one month response period specified in the Office Action.

Applicants' assignee also maintains a docket record entitled "Patent Docket" which docketed all actions due in the patent applications of the applicants' assignee. Attached hereto, as Exhibits 2 and 3, respectively, is a copy of each of the Patent Docket documents dated February 26, 2002 and March 13, 2002 which show all replies docketed for a date one month from the mailing date of the nonreceived Office Action. It can be seen that there is no entry in the Action Due column for the present application (see page 4 of each of these exhibits).

It is respectfully submitted that the showing made by this Petition clearly establishes nonreceipt of the Office Action mailed February 7, 2002. It is therefore respectfully requested that this Petition be granted and, further, that the Office Action be remailed.

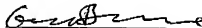
Respectfully Submitted,



Gaetano D. Maccarone  
Reg. No. 25,173

Certificate of Facsimile Transmission

I hereby certify that this correspondence is being submitted to the United States Patent and Trademark Office via facsimile transmission today to 703-746-7444.



Date: August 22, 2002

## EXHIBIT 1

Actions Due and Mail Received from PTO			Starting 1/25/02	
Doc. #	Item	Date	Comments	
ENP-038	Postcard returned	8/21/02	From IDS Filing	
ENP-036	Call from Examiner	8/21/02	Call about a Restriction sent in Feb., we never received, therefore no reply. Case has been abandoned. Need to send in letter requesting withdrawal of abandonment.	
ENP-009 PCT	Notification of Communication	8/19/02	From IB	
ENP-026	Office Action	8/19/02	Rejection of Claims	
ENP-037 P-1	Assignment Doc to fill out	8/16/02	From Lahive - need inventors signatures to be notarized	
ENP-018 PCT	Postcard received	8/15/02	From resent reply and petition to correct filing date etc.	
ENP-016 PCT	Letter from Lahive	8/13/02	Request to advise which countries to enter National Stage in by August 23, 2002	
Harvard Ref. No. 1472-98	File copy from Fish & Richardson	8/9/02	File copy of Notice to comply and response	
ENP-021	Office Action	8/9/02	Claims 1-10 pending	
ENP-018	ISSUE NOTIFICATION	8/8/02	Application No. 09824,318 ENP-018 will issue as Patent No. 6436906 on 8/20/02 - Confirmation No. 9402	
ENP-018 PCT	Notification Re: Priority Doc	8/6/02	Notification that priority doc was received July 9, in compliance of rule 17.1	
ENP-034	Recordation Doc	8/5/02	10/2050118	
ENP-040	Postcard received	8/5/02	10/205357	
ENP-039	Postcard received	8/5/02	PCT/US 02/23878	
ENP-021 PCT	Postcard received	8/5/02		
ENP-017 PCT	Notification of Withdrawal of International Application or Designations	8/2/02		
ENP-038	Recordation Doc	8/2/02		
ENP-028	IDS Postcard Received	7/29/02		
ENP-029	IDS Postcard Received	7/29/02		

## EXHIBIT 1

ENP-019 PCT ENP-009	Postcard received Postcard received	4/1/02 4/1/02	Return Postcard from filing received, noted and filed Return postcard received from Response to Office Action sent to USPTO by Guy.
Harvard Ref. No. 1472-98 (US) F&R # 00246-258001	Letter from F&R received explaining a request for sequence listing	4/1/02	Letter from F&R received explaining sequence listing was requested by USPTO (Copies of USPTO request included). Also states F&R will attend to the filing of the sequence listing.
ENP-017 PCT	Notice from USPTO	3/26/02	Notification Concerning Payment; Notification of the Intl. App. No. & Filing Date; Invitation to Correct Defects; Notification Regarding Certain Corrections Made Ex Officio
ENP-026	Corrected filing receipt	3/19/02	Postcard from Assignment & Recordation sent in 1/29/02
ENP-019	Postcard received	3/11/02	Notice regarding Power of Attorney - Power of Atty application is accepted
ENP-019	Letter received	3/11/02	Along with our file copy of the communication from the Hungarian patent office assigning a Hungarian App. No. to the case
Harvard Ref No. 1472-98 Hungary	Letter from Fish & Richardson received	3/11/02	Given immediately to Ron - response will be mailed asap
ENP-009	Office Action received	3/7/02	PCT postcard received-PCT No. put on xls sheet -filed
ENP-019 PCT	Filed	3/5/02	PCT postcard received-PCT No. put on xls sheet -filed
ENP-017 PCT	Postcard received	3/4/02	PCT postcard received-PCT No. put on xls sheet -filed
ENP-018 PCT	Postcard received	2/26/02	PCT postcard received-PCT No. put on xls sheet -filed
ENP-009 PCT	Postcard received	2/26/02	Copy of Form PCT/IB/332 (Information Concerning Elected Offices Notified of Their Election) sent to us from Lahive - shown to Ron - filed
ENP-016 PCT (ENJ-001PC) Lahive	Copy of Form PCT/IB/332 from International Bureau to Lahive	2/25/02	Received Staped into folder, noted on xls. Spreadsheet Received; Patent Serial # recorded on XLS spreadsheet, filed; Reel and Frame on file
ENP-032	Postcard from IDS	2/25/02	Received, filed; Reel and Frame on file
ENP-026	Recordation of Assignment	2/25/02	Received; filed; Reel and Frame on file
ENP-033	Recordation of Assignment	2/25/02	Received; filed; Reel and Frame on file
ENP-035	Recordation of Assignment	2/25/02	Received; filed; Reel and Frame on file



## EXHIBIT 1

ENP-032	Recordation of Assignment	2/25/02	Received; filed; Reel and Frame on file
ENP-036	Recordation of Assignment	2/25/02	Received; filed; Reel and Frame on file
Harvard Ref No. 1472- 98 Application No. 09/949,280	IDS filed by Fish & Richardson 2/8/02. Copy of IDS received	2/25/02	Shown to Ron - and filed
ENP-030 & ENP-031	Missing Parts	2/14/02	Put on hold by Sandhya - may not have to do - did we receive confirmation?
ENP-027	Recordation of Assignment	2/11/02	Received; filed; Reel and Frame on file
Harvard Ref No. 1472- 98 Hungary	Correspondence from Fish and Richardson	2/4/02	Noting Hungarian App. No. P0103260. Showed to Ron - noted on XLS sheet - filed in my files
ENP-026	Postcard from IDS	2/1/02	Received Stapled into folder, noted on xls. Spreadsheet
ENP-035	Postcard from IDS	2/1/02	Received Stapled into folder, noted on xls. Spreadsheet
ENP-036	Postcard from IDS	2/1/02	Received Stapled into folder, noted on xls. Spreadsheet
ENP-030	Postcard from IDS	1/25/02	Received; Stapled into folder, noted on xls. Spreadsheet

# Patent Docket

## EXHIBIT 2

Exhibit Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/Firm #	Action Due Date	Comments	Publication Date
ENP-019 PCT	Cyclosporins for the Treatment of Respiratory Diseases	Qi, Lazarova			S. Kalkunte	3/5/2002	Filing Papers Partially prepared	Sep-02
ENP-021 PCT	4'-Substituted Leucoscyntas	Qi, Phan, Z. Wang, Jian			S. Kalkunte	7/27/2002		Jan-03
ENP-028	23-O-Substituted 5-O-Mycaminosylglycoside Derivatives	Phan et al.			S. Kalkunte	2/7/002	Filing Papers Partially Prepared - Assignments Notarized	
ENP-029	5-O-Mycaminosylglycoside Derivatives	Phan et al.			S. Kalkunte	2/7/002	Filing Papers Partially Prepared - Assignments Notarized	
ENP-007 PCT	Bifunctional Inhibitors of Mitralin Proteases and uses thereof	Eckstein	4/12/2001	PCT/US01/12000	Choote, Hail & Stewart 2002950-0003		Demanded Filed 9/7/01	Published on 1/19/2001
ENP-009	14-Membered Macrolides Derived from Leucoscyntas	Qi, Lazarova, Hines, J. Wang	12/22/2000	09/747,578	S. Kalkunte/ G. Maccone			6/22/2002

## Patent Docket

## EXHIBIT 2

Exhibit Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/Firm #	Action Due	Action Due Date	Comments	Publication Date
ENP-009 PCT	14-Membered Macrolides Derived from Leucomycin	Or, Lazarevi, Binet, J. Wang	12/21/2001	PCT/US01/51041	S. Kalkunte				Jun-02
ENP-016 PCT	Methods for Identifying Peptide Sequences Capable of Altering a Cell Phenotype	Benson	4/4/2001	PCT/US01/10933	Lahive & Cookfield ENI-401-PC			Demand Filed 10/31/2001	Published on 10/12/2001
ENP-017	Bicyclic Leucomycin	Or, Binet, Phan	2/16/2001	09/785,727	S. Kalkunte/ G. Macaronne				8/2/2002
ENP-017 PCT	Bicyclic Leucomycin	Or, Binet, Phan	1/17/2002		S. Kalkunte				Aug-02
ENP-018	9-Amino-14-Membered Macrolide Derived from Leucomycin	Or, Vo, J. Wang, Phan	4/2/2001	09/824,318	S. Kalkunte/ G. Macaronne				10/2/2002
ENP-018 PCT	9-Amino-14-Membered Macrolide Derived from Leucomycin	Or, Vo, J. Wang, Phan	12/21/2001	PCT/US01/51040	S. Kalkunte				Jun-02

## EXHIBIT 2

## Patent Docket

Exhibit Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/Att #	Action Due	Action Due Date	Comments	Publication Date
BNP-019	Cyclosporins for the Treatment of Respiratory Diseases	Ox. Lazanova	3/5/2001	09880,656	S. Kalkane/ G. Macerone			Originally given # BNP-011.	9/2/2002
BNP-021	4'-Substituted Leucosyns	Ox. Pham, Z. Wang, Jim	7/27/2001	09917,107	S. Kalkane/ G. Macerone			IDS Filed 10/24/01	Jan-03
BNP-026	4'-O-Substituted Tylosin Analogs	Pham et al.	11/8/2001	10007744	S. Kalkane			IDS Filed 12/18/01 - Postcard return received 2/1/02	5/3/2003
BNP-027	Novel Tylosin Derivatives Having Antibacterial Activity	Pham et al.	11/15/2001	10002918	S. Kalkane			IDS Filed 12/18/01	5/3/2003
BNP-030	Cyclosporin Analogs for the Treatment of Autoimmune Diseases	Or et al.	10/12/2001	09976,219	S. Kalkane			IDS Filed 12/18/01 - Postcard return received 1/23/02	4/3/2003
BNP-031	Cyclosporin Analogs for the Treatment of Lung Diseases	Or et al.	10/12/2001	09975,923	S. Kalkane			IDS Filed 12/18/01	4/3/2003

## EXHIBIT 2

## Patent Docket

Enanta Docket #	Title	Inventor	Filing Date	Serial #	Responsible Atty./File #	Action Due	Action Due Date	Comments	Publication Date
ENP-032	11-o-Substituted Mercaptides and their Disaccharose Derivatives	Phan et al.	12/3/2001	10011,322	S. Kalkunte			IDS filed 1/16/02 - Postcard return received 2/25/02	6/5/2003
ENP-033	Novel 11-o-Substituted Ketolide Derivatives	Phan et al.	12/4/2001	10011,642	S. Kalkunte			IDS Filed 12/18/01	6/4/2003
ENP-035	Nitrogen Heterocycle Inhibitors of Aspartyl Protease	Gaojiang et al.	10/22/2001	10007,342	S. Kalkunte			IDS Filed 12/18/01 - Postcard Received 2/1/02	4/3/2003
ENP-036	Alpha-Hydroxy- $\beta$ -ketoamide Inhibitors of Aspartyl Protease	Or et al.	10/22/2001	10007,235	S. Kalkunte			IDS Filed 12/18/01 Postcard Received 2/1/02	4/3/2003
ENP-037P	Methods for Identifying Peptide Aptamers Capable of Altering a Cell Phenotype	Benson	11/6/2001		Lahive & Cookfield ENI-004-1			Need copy of filing papers as requested from Lahive - Provisional Application	
Harvard Ref. No. 1472-98 (US)	Synthesis of Compounds and Libraries of Compounds	Vendine et al.	3/22/1999	09273,597	FAR 00246-250001			Advisory Action received on 10/12/01; Request for Continued Examination filed on 10/29/01	

Complete Patent Doc 862 2-26-02.xls

## Patent Docket

## EXHIBIT 2

Exhibit Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/ File #	Action Due Date	Comments	Publication Date
Harvard Ref. No. 1472-98 (US-DIV) "Synthesis of Compounds and Libraries of Compounds"		Vedrine et al.	9/7/2001	06949,280	F&R 00246-258002		IDS Filed by F&R 2/8/02	3/9/2003
Harvard Ref. No. 1472-98 (Canada)	Synthesis of Compounds and Libraries of Compounds	Vedrine et al.	3/22/1999	2324016	F&R 00246-258CA1		First maintenance fee paid 2/28/01	
Harvard Ref. No. 1472-98 (EPO)	Synthesis of Compounds and Libraries of Compounds	Vedrine et al.	3/22/1999	999912805.1	F&R 00246-258EP1			
Harvard Ref. No. 1472-98 (Hungary)	Synthesis of Compounds and Libraries of Compounds	Vedrine et al.	3/22/1999	PCT/US99/06 233 Hungary App. No. PO 03260	F&R 00246-258HU1			
Harvard Ref. No. 1472-98 (Israel)	Synthesis of Compounds and Libraries of Compounds	Vedrine et al.	3/22/1999	138647	F&R 00246-258IL1			
Harvard Ref. No. 1472-98 (PCT)	Synthesis of Compounds and Libraries of Compounds	Vedrine et al.	3/22/1999	PCT/US99/06 233	Foley, Hoag & Ellis/HIV-033.25		Entered National Phase 9/22/00 (9/25/00 in Japan)	9/30/1999

## Patent Docket

## EXHIBIT 3

Enanta Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/ File #	Action Due	Action Due Date	Comments	(Expected) Publication Date (Jan-03)
ENP-021	4'-Substituted Leucomycins	Ou, Phan, Z. Wang, Jim	7/27/2001	09/917,107	S. Kalkunte/ G.Maccaroni	Foreign File	7/27/2002	IDS Filed 10/24/01	
ENP-007/PCT	Bifunctional Inhibitors of Malarial Proteases and uses thereof	Eckstein	4/12/2001	PCT/US01/ 12080	Choate, Hall & Stewart 2002930-0003			Demand Filed 9/7/01	11/8/2001
ENP-009	14-Membered Macrolides Derived from Leucomycins	Ou, Lazanova, Binet, J. Wang	12/22/2000	09/747,578	S. Kalkunte/ G.Maccaroni				
ENP-009 PCT	14-Membered Macrolides Derived from Leucomycins	Ou, Lazanova, Binet, J. Wang	12/21/2001	PCT/US01/ 51041	S. Kalkunte				(Jan-02)
ENP-016 PCT	Methods for Identifying Peptide Aptamers Capable of Altering a Cell Phenotype	Beasom	4/4/2001	PCT/US01/ 10953	Lalive & Cockfield ENJ-400-PC			Demand filed 10/31/2001	10/11/2001
ENP-017	Bicyclic Leucomycins	Ou, Binet, Phan	2/16/2001	09/785,727	S. Kalkunte/ G.Maccaroni				

## EXHIBIT 3

## Patent Docket

Exhibit Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/Att #	Action Due	Action Due Date	Comments	(Expected) Publication Date
BNP-017 PCT	Bicyclic Leucomycin	Dr. Binet, Phan	1/17/2002	PCT/US02/01427	S. Kalkunte			PCT postcard received 3/4/02	(Aug-02)
BNP-018	9-Amino-14-Membered Macrolide Derived from Leucomycin	Dr. Vo, J. Wang, Phan	4/2/2001	09/824,318	S. Kalkunte/ G. Macarone				
BNP-019 PCT	9-Amino-14-Membered Macrolide Derived from Leucomycin	Dr. Vo, J. Wang, Phan	12/21/2001	PCT/US01/51040	S. Kalkunte				(Jun-02)
BNP-019	Cyclosporins for the Treatment of Respiratory Diseases	Dr. Lazarova	3/5/2001	09/802,856	S. Kalkunte/ G. Macarone			Originally given # BNP-011	
BNP-019 PCT	Cyclosporins for the Treatment of Respiratory Diseases	Dr. Lazarova	3/5/2002		S. Kalkunte				(Sep-02)
BNP-026	4'-O-Substituted Tyrosin Analogs	Phan et al.	11/8/2001	10/007744	S. Kalkunte			IDS Filed 12/18/01 - Postcard return received 2/1/02	(May-03)



## Patent Docket

## EXHIBIT 3

Enanta Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/FILE #	Action Due	Action Due Date	Comments	(Expected) Publication Date
ENP-027	Novel Tyrosine Derivatives Having Antibacterial Activity	Phan et al.	11/15/2001	10002,918	S. Kalkunte			IDS Filed 1/21/02	(May-03)
ENP-030	Cyclosporin Analogs for the Treatment of Autoimmune Diseases	Or et al.	10/12/2001	09975,219	S. Kalkunte			IDS Filed 1/21/02 - Postcard return received 1/25/02	(Apr-03)
ENP-031	Cyclosporin Analogs for the Treatment of Lung Diseases	Or et al.	10/12/2001	09975,923	S. Kalkunte			IDS Filed 1/21/02	(Apr-03)
ENP-032	11- $\alpha$ -Substituted Macrolides and their Derivatives	Phan et al.	12/9/2001	10011,522	S. Kalkunte			IDS Filed 1/16/02 - Postcard return received 2/25/02	(Jun-03)
ENP-033	Novel 11- $\alpha$ -Substituted Ketolide Derivatives	Phan et al.	12/9/2001	10011,642	S. Kalkunte			IDS Filed 1/21/02	(Jun-03)
ENP-035	Nitrogen Heterocyclic Inhibitors of Aspartyl Protease	Guoqing et al.	10/22/2001	10007,342	S. Kalkunte			IDS Filed 1/21/02 - Postcard Received 2/1/02	(Apr-03)

## Patent Docket

## EXHIBIT 3

Exakta Docket #	Title	Inventor	Filing Date	Serial #	Responsible Attorney/Ref #	Action Due	Action Due Date	Comments	(Expected) Publication Date
ENT-036	Alpha-Hydroxyphosphonate Inhibitors of Aspartyl Protease	Or et al.	10/22/2001	10007,235	S. Kulkarni			IDS Filed 12/18/01 Postcard Received 2/1/02	(Apr-03)
ENT-037P	Methods for Identifying Peptide Antagonists Capable of Altering a Cell Phenotype	Benson	11/6/2001		Lalive & Cockfield ENT-004-1			Need copy of filing papers as requested from Lalive - Provisional Application	(May-03)
Harvard Ref. No. 1472-98 (US)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	09/273,597	F&R 00246-258001			Advisory Action received on 10/12/01: Request for Continued Examination filed on 10/29/01	
Harvard Ref. No. 1472-98 (US-DIV)	Divisional application "Synthesis of Compounds and Libraries of Compounds"	Verdine et al.	9/7/2001	09/949,280	F&R 00246-258002			IDS Filed by F&R 2/6/02	
Harvard Ref. No. 1472-98 (Canada)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	2324016	F&R 00246-258CA1			First maintenance fee paid 2/28/01	
Harvard Ref. No. 1472-98 (EPO)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	999912805.1	F&R 00246-258EP1				

Complete Patent Doc SSC 3-19-02.xls

## Patent Docket

## EXHIBIT 3

Exhibit Docket #	Title	Inventor	Filing Date	Serial #	Responsible Activity #	Action Due	Action Due Date	Comments	(Expected) Publication Date
Harvard Ref. No. 1472.98 (Hungary)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	P0102260	F&R 00246-258HU1				
Harvard Ref. No. 1472.98 (Israel)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	136647	F&R 00246-258HU1				
Harvard Ref. No. 1472.98 (PCT)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	PCT/US99/06233	Policy, Iraq & Ethical/IV-033.25			Entered National Phase 9/22/00 (92500 in Japan)	9/30/1999
Harvard Ref. No. 1472.98 (Japan)	Synthesis of Compounds and Libraries of Compounds	Verdine et al.	3/22/1999	2000-557880	F&R 00246-258JP1				

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Application Number	10/007235
Filing Date	October 22, 2001
First Named Inventor	Yat Sun Or
Title	Alpha-Hydroxyarylbutanamine Inhibitors...
Group Art Unit	
Examiner Name	R. Anderson
Attorney Docket Number	ENP-036

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 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/IB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name

Spiros Jamas, Sc.D., CEO and President, Enanta Pharmaceuticals, Inc.

Signature

*S. Jamas*

Date

August 22, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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